

Stock Lawyer Practice Test Questions and Answers

1. What is FINRA?

- A) Financial Industry Regulatory Authority
- B) Financial Institution Regulatory Agency
- C) Federal Insurance Regulatory Authority
- D) Financial Industry Regulatory Administration

2. Define the stock market.

- A) The stock market is a marketplace where consumers can purchase goods that businesses have a large supply of on hand.
- B) People can acquire stocks, which are shares of corporations, on the stock market.
- C) People who want to make money can wager on racehorses on the stock market.
- D) None of the above

3. Investment losses are _____

- A) very common
- B) uncommon
- C) not uncommon
- D) rare

4. The size of the market demonstrates

- A) The difference between buying and selling
- B) The number of stocks traded out of the ones listed
- C) The volume of trades
- D) None of the above

Answers: 1-A 2-B 3-C 4-B

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