

# Financial Advisor Practice Test Questions and Answers

## 1. Bond price percentages are expressed as:

- A) Intrinsic value
- B) Future value
- C) Discount value
- D) Par value

## 2. The term "financial adviser" refers to who?

- A) Provide advice
- B) Invest
- C) Trades money
- D) None of the above

## 3. Dollar-cost averaging is best described as:

- A) Investing a lump sum at market lows
- B) Investing equal dollar amounts at regular intervals regardless of price
- C) Rebalancing to equal dollar weights across all holdings
- D) Averaging down by buying more shares as prices fall

## 4. A financial counselor in the US possesses a Series?

- A) 64 or 67
- B) 65 or 66
- C) 60 or 63
- D) 63 or 65

**Answers: 1-D 2-A 3-B 4-B**

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