

FCA Practice Test Questions and Answers

1. What does FCA stand for in financial regulation?

- A) Financial Conduct Authority
- B) Federal Credit Association
- C) Financial Compliance Agency
- D) Federal Certification Authority

2. Which principle is central to FCA regulation?

- A) Maximizing profits for financial institutions
- B) Treating customers fairly
- C) Reducing competition in markets
- D) Minimizing regulatory oversight

3. What is the FCA's primary role in financial markets?

- A) Setting interest rates
- B) Regulating conduct and protecting consumers
- C) Providing financial advice to consumers
- D) Managing government investments

4. Which area does FCA regulation typically cover?

- A) Banking, insurance, and investment services
- B) Real estate transactions only
- C) Government spending
- D) International trade agreements

Answers: 1-A 2-B 3-B 4-A

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